

MONITORING REPORT Q4 2019/20 - AUDITS FINALISED

| Head of Service | Audit Title | Date Finalised | Assurance Level | Recommendations | | |
|-------------------------------------|--|----------------|-----------------|-----------------|------------|------------|
| | | | | Made | Agreed | Not Agreed |
| Housing & Public Health | Town Centre District Housing Office | 07/01/20 | High | 7 | 7 | 0 |
| Financial Services & Service Centre | Pension Fund Other Transactions | 13/01/20 | High | 3 | 3 | 0 |
| Fundamental Audits | Housing Rents 2019/20 | 23/01/20 | High | 7 | 7 | 0 |
| Building Services | Building Services - Control of Contracts | 23/01/20 | High | 1 | 1 | 0 |
| Fundamental Audits | Pension Fund Investments 2019/20 | 04/02/20 | High | 0 | 0 | 0 |
| Digital & Transformation | Change Control | 03/03/20 | High | 1 | 1 | 0 |
| Fundamental Audits | Employee Services 2019/20 | 03/03/20 | High | 5 | 5 | 0 |
| Planning & City Regeneration | External Funding Team | 04/03/20 | High | 0 | 0 | 0 |
| Cultural Services | Community Buildings | 09/03/20 | High | 3 | 3 | 0 |
| Fundamental Audits | Pension Fund Administration 2019/20 | 16/03/20 | High | 0 | 0 | 0 |
| Financial Services & Service Centre | Cashiers Office | 27/03/20 | High | 2 | 2 | 0 |
| Education Planning & Resources | Seaview Community Primary School | 07/01/20 | Substantial | 6 | 6 | 0 |
| Chief Transformation Officer Audits | Corporate Complaints | 20/01/20 | Substantial | 6 | 6 | 0 |
| Education Planning & Resources | sQuid System Review | 23/01/20 | Substantial | 10 | 10 | 0 |
| Education Planning & Resources | St Thomas Primary School | 27/01/20 | Substantial | 10 | 8 | 2 |
| Highways & Transportation | Civil Parking Enforcement | 31/01/20 | Substantial | 12 | 12 | 0 |
| Cultural Services | Grand Theatre & Glyn Vivian Catering | 17/02/20 | Substantial | 2 | 2 | 0 |
| Cross Cutting Audits | Information Governance | 19/02/20 | Substantial | 12 | 12 | 0 |
| Cultural Services | Cultural Services Review of Contracts | 24/02/20 | Substantial | 2 | 2 | 0 |
| Highways & Transportation | Car Parks Income Review (G4S) | 28/02/20 | Substantial | 5 | 5 | 0 |
| Digital & Transformation | Physical and Environmental Controls | 03/03/20 | Substantial | 6 | 6 | 0 |
| Cultural Services | Sports Permits | 16/03/20 | Substantial | 6 | 6 | 0 |
| Highways & Transportation | Stores (Pipehouse Depot) | 17/03/20 | Substantial | 10 | 10 | 0 |
| Cross Cutting Audits | Safeguarding | 24/03/20 | Substantial | 3 | 3 | 0 |
| Fundamental Audits | Accounts Payable 2019/20 | 27/03/20 | Substantial | 19 | 19 | 0 |
| Highways & Transportation | Concessionary Bus Fares | 27/02/20 | Moderate | 5 | 5 | 0 |
| Education Planning & Resources | Cwmglas Primary School | 10/03/20 | Moderate | 22 | 22 | 0 |
| | | | Total | 165 | 163 | 2 |